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**FACSIMILE COVERSHEET**

**TO:** Examiner Nicholas D. Rosen  
**Fax:** (703) 872-9306

**FROM:** Ronald E. Larson  
**Fax:** 312/775-8100

**DATE:** August 9, 2004

**Matter:** Our File No.: 0536-12672US01

**No. pages sent**  
**including cover page:** 12 – Second Amendment After Final Under 37 CFR § 1.116

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
Case No. 12672US01

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In the Application of: )  
ROBERT CAREY )  
Serial No.: 09/639,478 )  
Filed: August 15, 2000 )  
For: TECHNIQUES OF SELECTING )  
SECURITIES FOR A PORTFOLIO )  
USING BUYBACK RATIO AND )  
DIVIDEND YIELD )  
Examiner: Nicholas D. Rosen )  
Group Art Unit: 3625 )  
Confirmation No.: 9992 )

**CERTIFICATION OF FACSIMILE TRANSMISSION**

I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office (FAX No. 703-872-9306) on August 9, 2004.

  
Lawrence M. Jarvis, Esq., Reg. No. 27,341

**SECOND AMENDMENT AFTER FINAL UNDER 37 CFR § 1.116**

Commissioner for Patents  
Mail Stop After Final  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In response to the Office Action dated June 10, 2004, kindly amend the above-identified application as follows. This amendment is being filed within two months of the office action mailing date pursuant to 37 CFR 1.136(a).